

*Full Research Paper, Review, Communication (Type of Paper)*

## **Global Distribution and Density of Constructed Impervious Surfaces**

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*Received: / Accepted: / Published:*

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**Abstract:** We present the first global inventory of the spatial distribution and density of constructed impervious surface area (ISA). Examples of ISA include roads, parking lots, buildings, driveways, sidewalks and other manmade surfaces. While high spatial resolution is required to observe these features, the product we made is at one km<sup>2</sup> resolution and is based on two coarse resolution indicators of ISA. Inputs into the product include the brightness of satellite observed nighttime lights and population count. The reference data used in the calibration were derived from 30 meter resolution ISA estimates of the USA from the U.S. Geological Survey. Nominally the product is for the years 2000-01 since both the nighttime lights and reference data are from those two years. We found that 1.05% of the United States land area is impervious surface (83,337 km<sup>2</sup>) and 0.43 % of the world's land

surface (579,703 km<sup>2</sup>) is constructed impervious surface. China has more ISA than any other country (87,182 km<sup>2</sup>), but has only 67 m<sup>2</sup> of ISA per person, compared to 297 m<sup>2</sup> per person in the USA. Hydrologic and environmental impacts of ISA begin to be exhibited when the density of ISA reaches 10% of the land surface. An examination of the areas with 10% or more ISA in watersheds finds that with the exception of Europe, the majority of watershed areas have less than 0.4% of their area at or above the 10% ISA threshold. The authors believe the next step for improving the product is to include reference ISA data from many more areas around the world.

**Keywords:** Impervious surfaces, constructed area, urbanization, nighttime lights, population grid, ISA.

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## 1. Introduction

Human beings around the world build, use and maintain constructed impervious surfaces for shelter, transportation and commerce. It is a universal phenomenon – akin to clothing – and represents one of the primary anthropogenic modifications of the environment. Expansion in population numbers and economies combined with the popular use of automobiles has led to the sprawl of development and a wide proliferation of constructed impervious surfaces. The quantity of development which has occurred in recent decades is staggering. In the USA there are a million new homes and 16,000 kilometers of paved road built each year. It is anticipated that the worldwide pattern of sprawl development will continue in the coming decades in response to both population growth and growth in living standards.

Constructed impervious surfaces can be viewed as hydrological and ecological disturbances. However, constructed surfaces are different from most other types of disturbances in that recovery is arrested through the use of materials that are resistant to decay and are actively maintained. The same characteristics that make impervious surfaces ideal for use in construction produce a series of effects on the environment [1]. Impervious surfaces alter sensible and latent heat fluxes, causing urban heat islands [2]. In heavily vegetated areas the proliferation of ISA reduces the sequestration of carbon from the atmosphere [3]. ISA alters the character of watersheds by increasing the frequency and magnitude of surface runoff pulses [4]. Watershed effects of ISA begin to be detectable once 10% of the surface is covered by impervious surfaces, altering the shape of stream channels, raising water temperatures, and sweeping urban pollutants into aquatic environments [5,6]. Hydrologic consequences of ISA include increased flooding, reductions in ground water recharge, and reductions in surface water quality.

Spatial grids depicting the density of impervious surface area (ISA) were developed to address applications requiring specific measures or estimates of constructed areas. There are three basic remote sensing approaches to estimating ISA [7,8]. The first approach is to map constructed areas using high spatial resolution imagery [9,10,11]. Typically ISA products derived from high spatial

resolution imagery cover small areas and to date there has not been a standardization of methods which would facilitate the merger of products generated by diverse organizations.

The second approach is to use moderate spatial resolution multispectral data (such as Landsat) to estimate the density of ISA. Such a product was recently produced by the U.S. Geological Survey (USGS) using Landsat 7 data from the early 2000's [10]. This product has thirty meter resolution and a combination of spectral and spatial methods to estimate the density of ISA. A sub-sample of high spatial resolution imagery was used to establish the methodology and to provide an accuracy assessment.

The third approach is to use indicators to estimate the density of ISA. Population numbers have been proposed as an indicator upon which ISA can be estimated [12]. The concept behind this is that where there are people – surely there is ISA. However, not all population density grids are well suited for this application. In particular, if the population tally is based on residency (where people sleep), the values are quite low in heavily built up commercial centers and transportation areas. Ambient Population density products are likely better for this application since they are designed to estimate the average population over a typical diurnal cycle. Another indirect method is the estimation of ISA based on coverage coefficients developed for standard land cover classes, such as low density residential, high density residential, commercial / industrial [13].

In 2003 NOAA produced a one km<sup>2</sup> grid of ISA densities for the conterminous USA using indirect data sources to estimate ISA [14]. The input data included radiance calibrated nighttime lights, street and road density (from the U.S. Census Bureau) and three urban land cover classes from the early 1990's [15]. The ISA estimates were calibrated using gridded point counts made of ISA from high spatial resolution aerial photography selected along transects crossing thirteen major urban centers. Using these data sources it was estimated that as of the year 2000-01 the USA held 112,000 square kilometers of constructed impervious surfaces, an area nearly the size of the State of Ohio.

As an extension of the methods used by NOAA in 2003 [14] we have produced the first global grid of ISA density. We have used the product to estimate the world's total ISA, to rank the leading countries in total ISA and to calculate the quantity of ISA per person for individual countries. In addition, we have aggregated the ISA density for the major watershed units of the world to identify those watersheds that are most severely impacted by the proliferation of ISA.

## **2. Methods**

### *2.1 Nighttime Lights*

A radiance calibrated nighttime lights product was assembled using data acquired by the U.S. Air Force Defense Meteorological Satellite Program (DMSP) Operational Linescan System (OLS). The

DMSP OLS was designed to collect global cloud imagery using a pair of broad spectral bands placed in the visible and thermal. The DMSP satellites are flown in polar orbits and each collects fourteen orbits per day. With a 3000 km swath width, each OLS is capable of collecting a complete set of images of the earth twice a day. At night the visible band signal is intensified with a photomultiplier tube (PMT) to enable the detection of moonlit clouds. The boost in gain enables the detection of lights present at the earth's surface. Most of the lights are from human settlements (cities and towns) and fires, which are ephemeral. Gas flares are also detected and can easily be identified when they are offshore or in isolated areas not impacted by urban lighting.

NGDC serves as the long term archive for DMSP data and has data holding extending from 1992 to the present. In the standard collection mode the OLS gain is turned up quite high for the detection of moonlit clouds. Under these operating conditions the nighttime visible data are saturated (DN=63) in urban centers. To produce a radiance calibrated product, NGDC requested that data be collected in three overlapping gain settings (low, medium and high) on alternating 24 hour periods. The preflight radiance calibration was used as the reference for the conversion to a consistent digital scale merging the data from the three gain settings. Each orbit was processed with automatic algorithms that identify image features (such as lights and clouds) and the quality of the nighttime data. The basic algorithms have been described in references 15,16,17. The following criteria were used to identify the best nighttime lights data for compositing:

1. Center half of orbital swath (best geolocation and sharpest features).
2. No sunlight present.
3. No moonlight present.
4. No solar glare contamination.
5. Cloud-free (based on thermal detection of clouds).
6. No contamination from auroral emissions.

The unsaturated nighttime data from each individual orbit meeting the above criteria were averaged in a 30 arc second grid (Platte Carree projection) using the radiance increment for a single DN from the highest gain setting of the collections. Lights from natural gas flares present on land areas in places such as Nigeria and Russia [19] were removed by masking.

## 2.2 LandScan 2004

The U.S. Department of Energy, Oak Ridge National Laboratory has produced an evolving series of spatially disaggregated global population count data sets, known as LandScan. The basic concept of the LandScan data sets is to perform a spatial allocation of census reported population numbers based on models developed with spatially disaggregated data. The term population count is used instead of population density - which is based on residence. On a population density grid commercial centers and airports have very low numbers, despite the fact that there are substantial numbers of people

present during certain hours. Population count products, also referred to as ambient population, attempt to represent the spatial distribution of population based on person hours.

The first LandScan product [20] used DMSP nighttime lights for the mapping of human settlements. However, the nighttime lights were subsequently dropped [21] due to the overt affect of economic development on the extent and brightness of lighting. We used the LandScan 2004 product, which included input from three satellite data sources: NASA MODIS land cover [22], the topographic data from the Shuttle Radar Topography Mission (SRTM) [23], and the high resolution Controlled Image Base (CIB) from the U.S. National Geospatial Intelligence Agency (NGA).

### 2.3 ISA Estimation Model

A model was developed to estimate the density of ISA based on the radiance calibrated nighttime lights and the Landscan population count. The model was developed using the the 30 meter USGS Landsat derived ISA as the reference data source. The 30 meter ISA was aggregated to a one kilometer equal area grid in an Albers projection (Figure 1). The Landscan and radiance calibrated nighttime lights were reprojected to the same one kilometer grid (Figures 2 and 3). Note that the Landscan does not include population counts for airports and often has low population counts in commercial centers relative to the brightness of the lights and the density of ISA.

Linear regression was used to define an equation for estimating the density of ISA. Only grid cells with population count values of three or greater were included in the regression. In addition, outliers having extremely high population counts (greater than 3000 persons / km<sup>2</sup>) and extremely bright lights (digital numbers greater than 800) were excluded from the regression. The equation developed through the regression is as follows:

$$\text{ISA} = 0.0795 (\text{radiance}) + 0.00868 (\text{population count})$$

The regression had an  $r^2$  squared of 0.59 and is highly significant  $p < .0001$ . Figure 4 shows the resulting ISA grid of the USA.

### 2.4 Global ISA Grid

The initial global ISA density grid was produced on a 30 arc second grid since that is the native grid of both the Landscan and nighttime lights. This was then converted to a 1 km equal area grid in a Mollweide projection (Figure 5). A threshold of 0.4 percent was applied to eliminate the salt and pepper noise present at the very low end of the ISA scale. ISA values over 100 were reset to 100. Extractions of the digital values were run to tally the quantity of ISA for countries, subnational units (states / provinces) and watersheds.

### 3. Results and Discussion

In order to examine the qualities of the product we generated a scattergram of the global ISA values against the USGS ISA aggregated to 1 km resolution (Figure 6). The scattergram shows that there is a substantial amount of scatter in the NGDC estimates of ISA relative to the reference USGS estimates. However, this scatter diminishes with aggregation when ISA is considered at the subnational (e.g. state) level. Figure 7 shows the scattergram of the ISA estimates for the forty-eight (plus D.C.) states of the conterminous USA. The USGS ISA estimate is that 90,037 km<sup>2</sup> of the conterminous U.S. is impervious; whereas the NGDC estimate is slightly lower at 83,338 km<sup>2</sup>. The difference between the two estimates is 6,699 km<sup>2</sup>. Both of these ISA estimates for the conterminous USA are lower than the previous estimate from NOAA [14] of 112,000 km<sup>2</sup>, which used gridded point counts of ISA made on high resolution aerial photography as reference data. It is likely that there are systematic differences in the reference ISA methods used in the previous NOAA [14] and USGS [10] products.

In comparing the global (NGDC) ISA versus the USGS ISA the most profoundly over-predicted states in the NGDC product were small and highly urbanized (The District of Columbia, Delaware, New Jersey, and Maryland); whereas the most significantly under-predicted state was large and rural (Montana). It is likely that these over-predictions resulted from the widespread detection of dim lighting outside of urban centers in states such as New Jersey and Maryland. The NGDC product tends to have no ISA estimate in rural areas where roads are detected as ISA in the USGS product (Figure 8). Notably, there are few areas in northeastern areas of the United States and stronger clusters southeast of Seattle, the central valley of California, and southern Texas. These areas account for a large portion of the national and subnational underestimation of ISA present in the NGDC product. For the conterminous USA the aggregate area of ISA in the USGS product that had zero ISA in the NGDC product is 10,842 km<sup>2</sup> – slightly more than the 6,699 km<sup>2</sup> difference in the total ISA from the USGS and NGDC products. This implies that on an aggregate level the two products are largely equivalent outside of the most sparsely populated areas where no lighting was detected.

The hundred leading countries in terms of total ISA are shown in Table 1. Also listed is the quantity of ISA per person in square meters. At the bottom of the table we list the total ISA for all countries and the average amount of ISA found per person worldwide. Clearly, the countries that measure high on total ISA are either large in area extent and/or total population, or have high levels of economic development.

The countries with particularly high values of ISA per person according to our estimation are almost universally affluent (United States, Canada, Norway, Sweden, Finland, Spain, France, Bahrain, Brunei, Qatar, and the United Arab Emirates). With the exception of Brunei, these countries cluster in the northern hemisphere (Figure 9). It is interesting to note that Japan and Mexico both score at lower identical levels (114 m<sup>2</sup> of ISA per person). Japan's moderate level of ISA (relative to their GDP per capita) can be attributed to the topographic and agricultural constraints on development present in that country.

Clearly, ISA is a function of population, level of economic development, and the availability of buildable surfaces. High levels of ISA per capita calculated at nationally aggregate levels generally identify wealthy countries (e.g. high GDP per capita). However, exploration of ISA as a percentage of watershed surface area identifies watersheds that are likely to be suffering detrimental hydrologic and ecologic effects. The percentage of area having 10% or more ISA coverage for Hydro 1K watersheds of the world [24] are shown in Figure 10 (Note: The data for Australia was problematic and should not be considered). The 10% or more ISA value is used since this is the ISA coverage value widely cited as the point at which adverse hydrologic / ecological effects begin to be detectable.

The watershed results are summarized by continent in Figure 11, which shows that at a continental scale the vast majority of watershed areas have very low ISA coverage (0.4% or less area with 10% or more ISA). The exception to this is Europe, where more than half of the watershed area has more than 0.4% of the area with 10% or more ISA. The results suggest that the adverse effects of ISA are concentrated into a small segment of the world's watersheds but are more pervasive in Europe than in other land masses. Based on their high ISA totals it would be expected that India and portions of China and the USA would look quite similar to Europe if plotted on Figure 11.

#### 4. Conclusion

We have produced the first global grid of ISA at a resolution of one kilometer. The GIS readable product and related tables are freely available at: <http://www.ngdc.noaa.gov/dmsp/download.html>. The total ISA of the world is estimated to be 579,703 km<sup>2</sup>. This is nearly the same size as the country of Kenya (584,659 km<sup>2</sup>) and larger than Spain (505,735 km<sup>2</sup>) or France (546,962 km<sup>2</sup>). The country with the most ISA is China (87,182 km<sup>2</sup>) followed closely by the United States (83,881 km<sup>2</sup>), and India (81,221 km<sup>2</sup>). China and India's ISA footprints are population driven whereas the United States ISA footprint is more driven by affluence. Explorations of ISA per capita show generally expected patterns in that countries with high population densities (e.g. 'big denominators') show lower levels of ISA per capita. The global average of ISA per capita was estimated to be 93 m<sup>2</sup> per person. Examinations of ISA at the watershed level support ideas that there are both economic and demographic forces contributing to changes in the hydrologic and ecologic functioning of watersheds around the world.

The estimate of ISA is derived solely from the brightness of satellite observed nighttime lights and population count. Both of the input sources (nighttime lights and population count) are produced as 30 arc second grids (~1 km<sup>2</sup> resolution) and could potentially be updated on an annual basis. These two data sources are complementary in that the nighttime lights are generally brightest in the commercial and industrial areas – which are generally not well defined in the population count data. In areas with no detected lighting – the ISA estimate is based solely on population count. Based on equation 1, in the absence of detected lighting the population count at which 100% ISA is reached is slightly over 11,000 persons per square kilometer. At this density each person is directly associated with 91 m<sup>2</sup> of ISA, nearly the global average of 93 m<sup>2</sup> per person.

There are likely systematic errors in that the product calibration was conducted with USA data only. It is well established [25,26] that most developing countries have less lighting at night than countries such as the USA. This results in a lower ISA estimation, which is likely the case since most developing countries have less ISA per person than the USA. In addition, comparison of this product to USGS estimates of ISA in the conterminous USA with NOAA's previous estimate [14] suggests that the new product may be biased towards underestimation globally. The best way to improve the product would be to include ISA reference data from a broad suite of countries – instead of the USA only.

In recent years porous asphalt and concrete products have been developed [27]. These materials substantially reduce the overland flow of water, reducing the hydrologic impacts of ISA. To date however, the spatial extent of porous roadways and parking lots is quite small.

As the world economy and population expands it can be projected with confidence that the constructed surfaces of the earth will expand significantly. For the moment this product stands as the only global ISA grid. We offer it as a pathfinder, recognizing that the mapping of constructed surface at both the global and local scale will continue to improve over time.

## Acknowledgements

This project was funded in part by NASA's Carbon Cycle research program.

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**Table 1**  
**Top Hundred Countries in Terms of Constructed Impervious Surface Area**

COUNTRY	ISA km <sup>2</sup>	Population (Landscan 2004)	ISA per Person (m <sup>2</sup> )
China	87,182	1,292,548,864	67.4
United States	83,881	282,575,328	296.8
India	81,221	1,058,349,824	76.7
Brazil	17,766	177,885,936	99.9
Russia	17,135	138,947,840	123.3
Indonesia	16,490	230,000,208	71.7
Japan	13,990	122,192,928	114.5
Mexico	11,854	103,608,488	114.4
Canada	11,295	32,022,750	352.7
Pakistan	10,666	150,465,168	70.9
France	9,537	59,497,124	160.3
Bangladesh	8,878	140,275,504	63.3
Germany	8,500	82,406,312	103.1
Italy	8,294	56,528,760	146.7
Nigeria	7,668	125,118,728	61.3
United Kingdom	7,576	58,926,004	128.6
Spain	7,037	39,481,976	178.2
Iran	6,949	66,604,152	104.3
Vietnam	5,981	81,249,416	73.6
Egypt	5,745	75,240,640	76.4
Thailand	5,556	64,418,264	86.2
Philippines	5,428	80,687,360	67.3
Turkey	4,988	66,874,440	74.6
Argentina	4,733	38,680,324	122.3
South Africa	4,710	46,119,880	102.1
South Korea	4,452	46,192,628	96.4
Ukraine	4,262	47,400,144	89.9
Poland	4,242	38,523,048	110.1
Ethiopia	4,096	71,446,352	57.3
Saudi Arabia	4,057	25,289,332	160.4
Colombia	3,326	41,699,424	79.8
Venezuela	3,123	24,304,196	128.5
Australia	2,673	19,312,536	138.4
Congo, DRC	2,666	57,836,040	46.1
Myanmar	2,577	42,012,896	61.3
Algeria	2,489	31,531,672	79.0
Malaysia	2,344	22,441,990	104.5

Uzbekistan	2,219	26,386,720	84.1
Romania	2,146	22,365,804	96.0
Kenya	2,091	32,995,516	63.4
Netherlands	1,985	16,115,017	123.2
Sweden	1,893	8,698,591	217.6
Morocco	1,862	31,171,148	59.7
Sudan	1,824	40,477,688	45.1
Iraq	1,785	25,398,480	70.3
Nepal	1,750	27,308,324	64.1
Uganda	1,738	26,512,924	65.6
Tanzania	1,707	35,691,664	47.8
Belgium	1,670	10,370,094	161.0
Finland	1,647	5,104,438	322.7
Portugal	1,647	10,294,616	159.9
Peru	1,582	27,266,494	58.0
Sri Lanka	1,547	19,600,378	78.9
Greece	1,543	10,090,290	153.0
Syria	1,538	17,789,538	86.4
Czech Republic	1,439	10,232,928	140.7
Chile	1,428	15,293,033	93.4
Ghana	1,373	20,753,768	66.2
Yemen	1,343	19,757,588	68.0
Afghanistan	1,334	28,403,620	47.0
Hungary	1,262	10,033,943	125.8
Kazakhstan	1,153	15,185,784	75.9
Guatemala	1,136	14,271,432	79.6
Ecuador	1,132	12,774,985	88.6
Austria	1,096	8,136,709	134.7
Israel	1,067	5,981,165	178.3
Serbia &			
Montenegro	1,066	10,795,336	98.8
North Korea	1,047	22,079,722	47.4
Tunisia	996	9,637,170	103.3
Cote d'Ivory	995	16,300,517	61.0
Norway	985	4,193,063	234.9
United Arab			
Emirates	891	2,346,994	379.7
Madagascar	865	17,362,132	49.8
Switzerland	862	7,488,580	115.1
Cambodia	857	13,373,515	64.1
Cuba	851	11,147,445	76.4
Malawi	809	11,916,622	67.9

Belarus	805	10,320,822	78.0
Bulgaria	793	7,457,232	106.3
Cameroon	765	15,955,608	47.9
Libya	727	5,565,879	130.6
Slovakia	726	5,443,080	133.4
Mozambique	705	18,906,650	37.3
Burkina Faso	682	13,547,507	50.3
Zimbabwe	679	12,654,464	53.7
Dominican Republic	671	8,696,206	77.2
Puerto Rico	661	3,773,716	175.2
Ireland	626	3,835,449	163.3
Bolivia	618	8,744,160	70.7
Azerbaijan	587	7,868,001	74.6
Denmark	586	5,150,440	113.8
Rwanda	580	8,249,077	70.3
Croatia	572	4,317,700	132.5
Senegal	564	10,813,660	52.2
El Salvador	554	6,548,425	84.5
Paraguay	532	6,183,984	86.1
Honduras	515	6,695,838	76.9
Jordan	514	5,590,674	91.9
Tajikistan	498	7,009,976	71.1
Zambia	495	11,123,909	44.5
<b>TOTAL</b>			
<b>Worldwide</b>	<b>579,703</b>	<b>6,245,732,591</b>	<b>93</b>

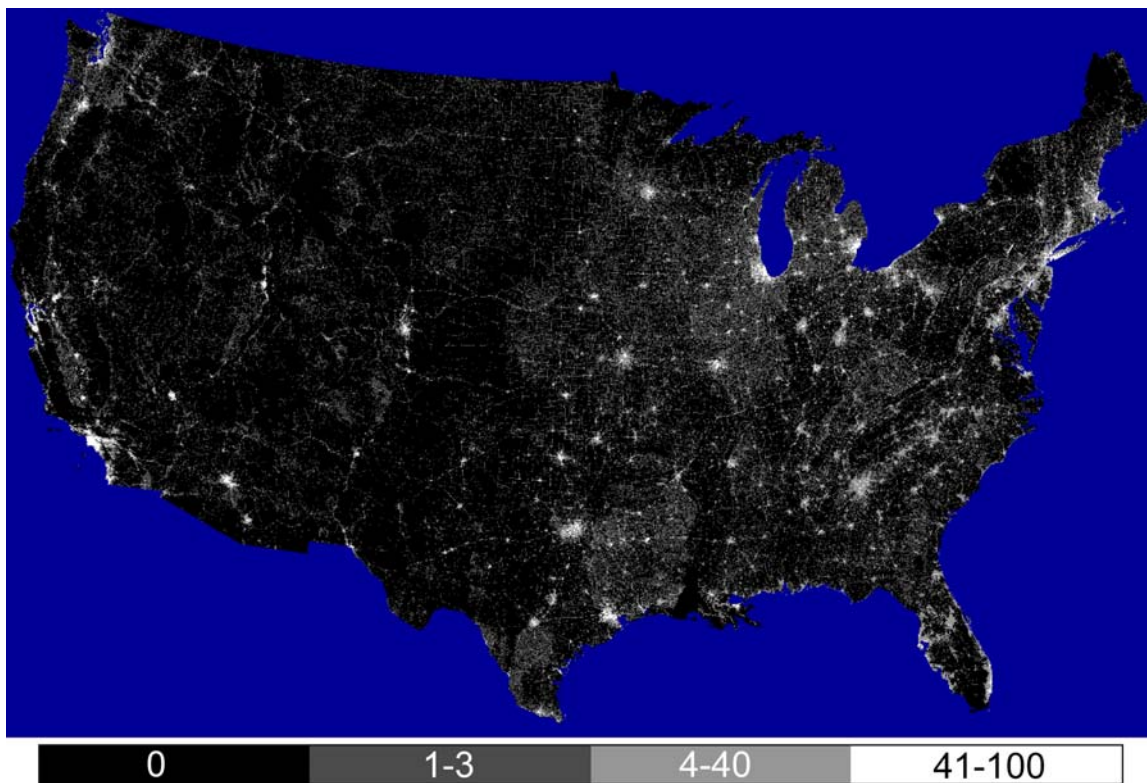


Figure 1. The USGS' Landsat derived ISA density data for the conterminous USA were used in developing the calibration for the global ISA product. The 30 meter resolution ISA data were aggregated to a one km<sup>2</sup> Albers equal area grid. The percent cover of ISA has been coded into four gray scale levels.

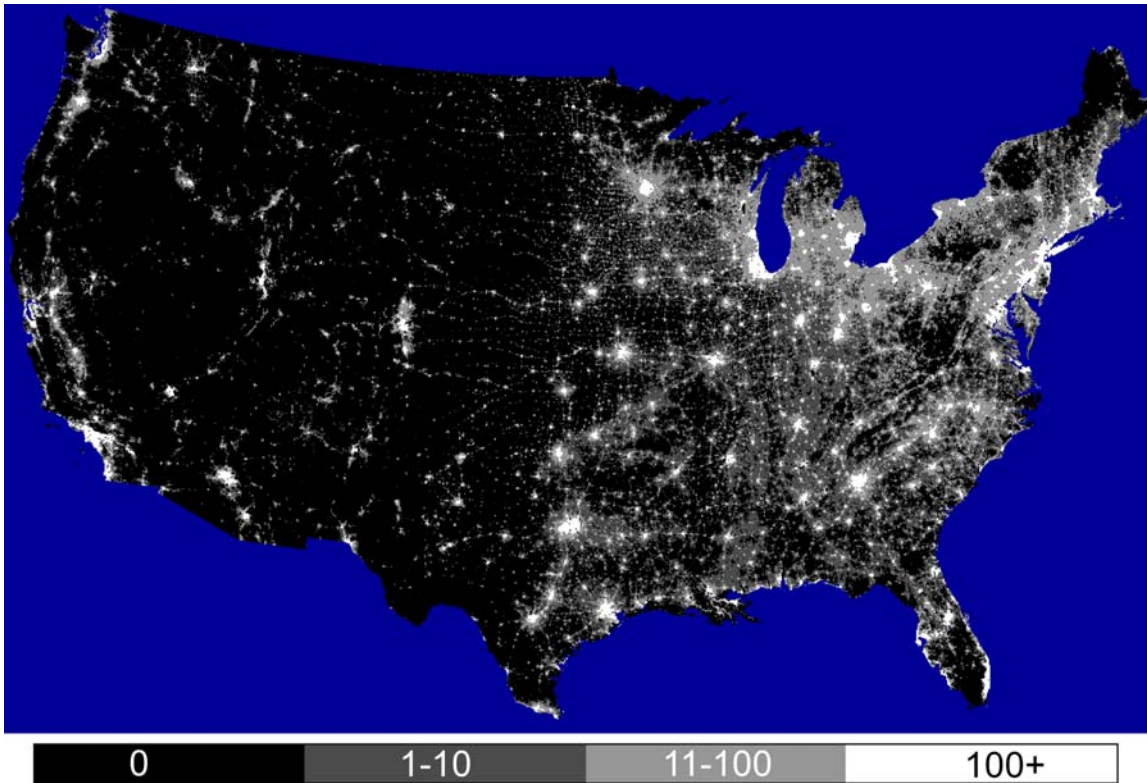


Figure 2. Radiance calibrated DMSP nighttime lights data for the conterminous USA from the years 2000-01. Each DN has a value of  $1.44 \times 10^{-10}$  watts/cm<sup>2</sup>/sr. The figure has been coded into four gray scale DN ranges.

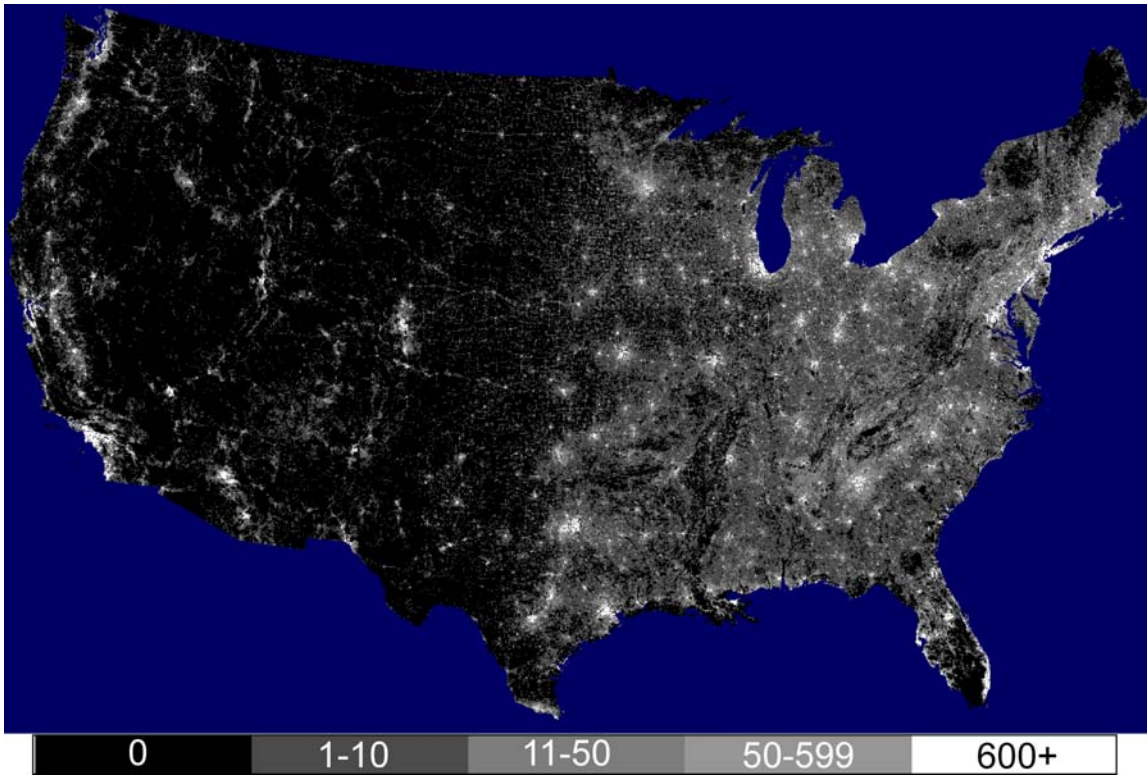


Figure 3. Landsat 2004 data of the conterminous USA. The figure shows population count in five gray scale ranges.



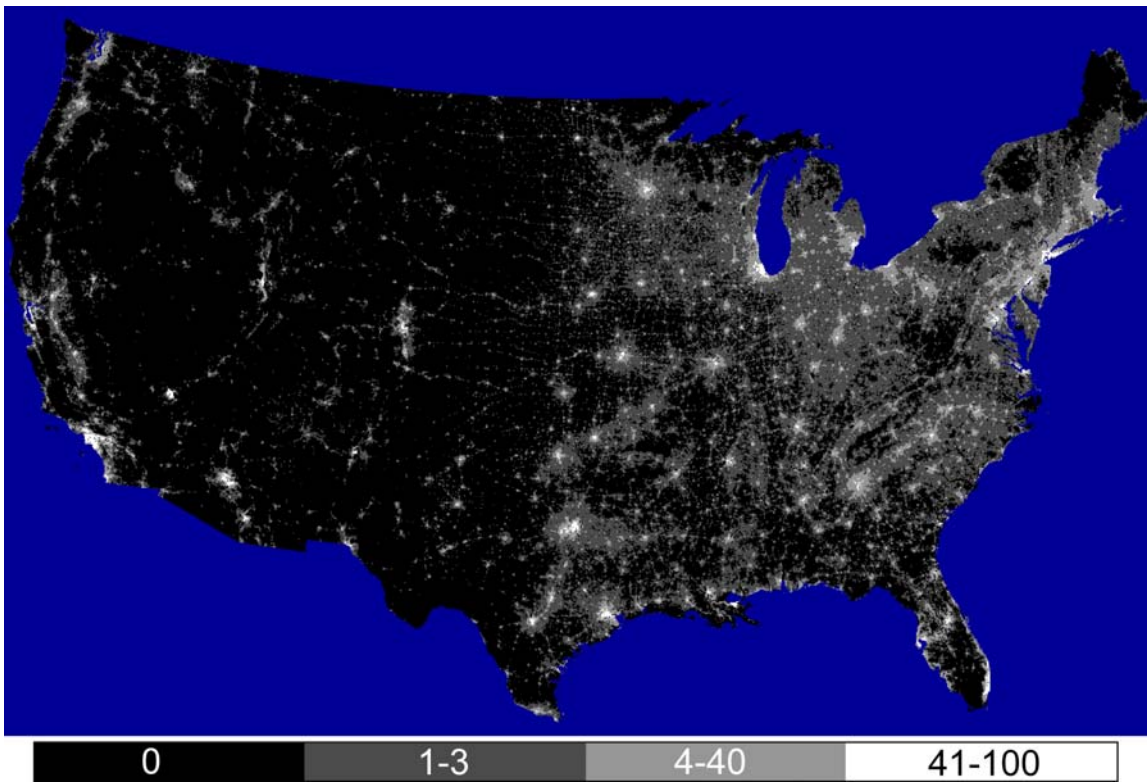


Figure 4. NGDC's ISA grid of the conterminous USA. The figure shows the density of ISA in four gray scale ranges.

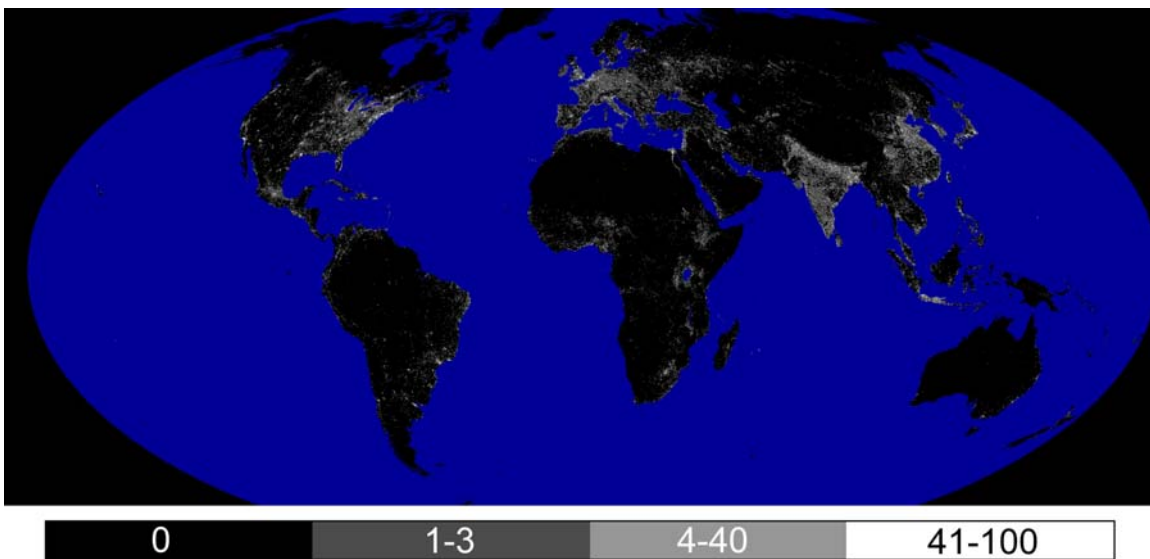


Figure 5. Global distribution and density of constructed impervious surfaces. Product is in a Mollweide 1 km<sup>2</sup> equal area grid. The figure shows the density of ISA in four gray scale ranges.

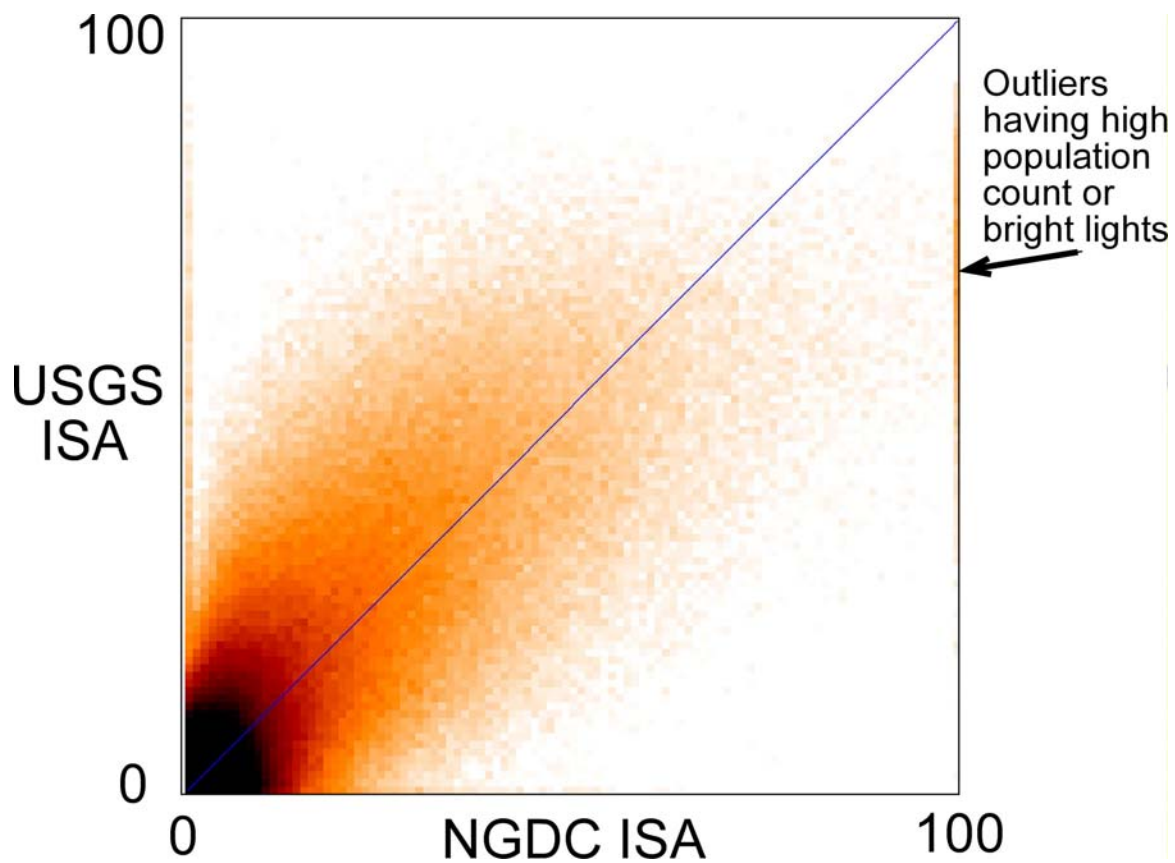


Figure 6. NGDC’s predicted ISA values versus the USGS reference values for the conterminous USA.

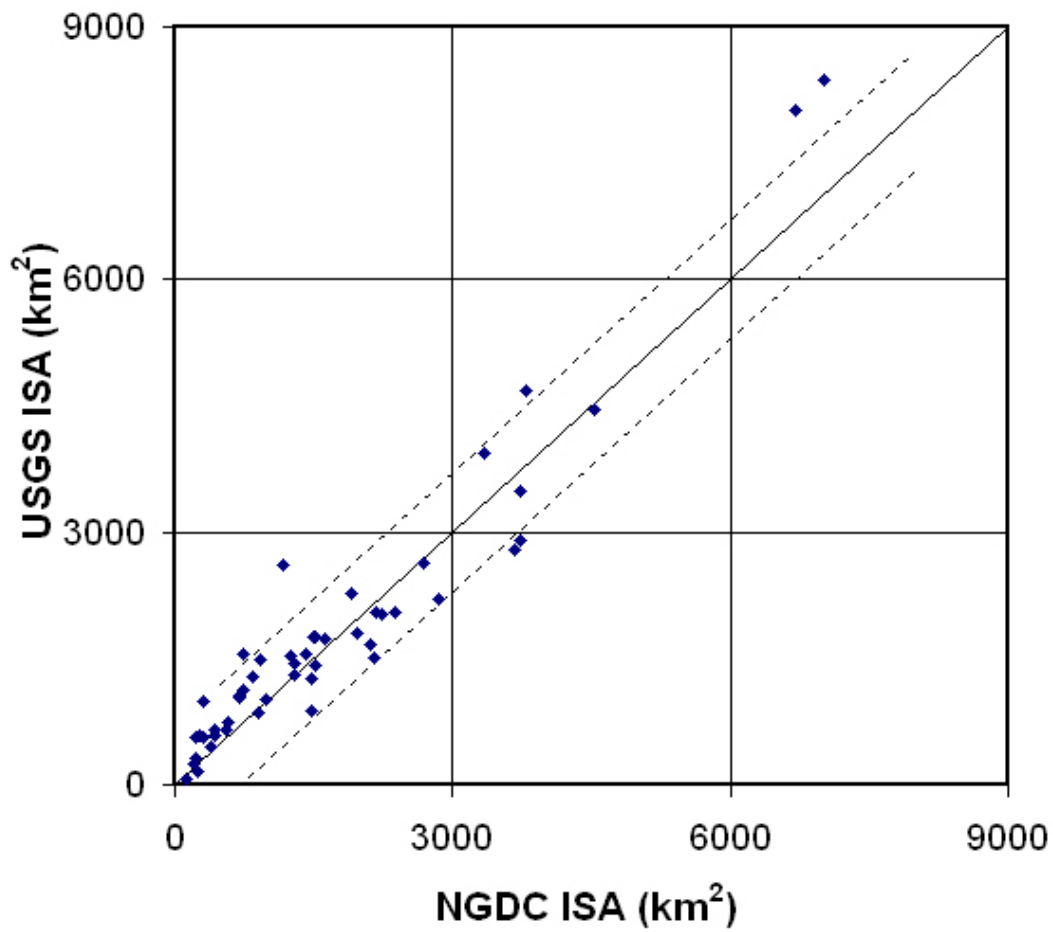


Figure 7. Scattergram of the aggregated ISA for the forty-eight states of the conterminous USA. The solid diagonal line marks the position where the estimates are equal. The dashed lines mark the two standard deviation ( $702 \text{ km}^2$ ) positions for NGDC estimation errors.

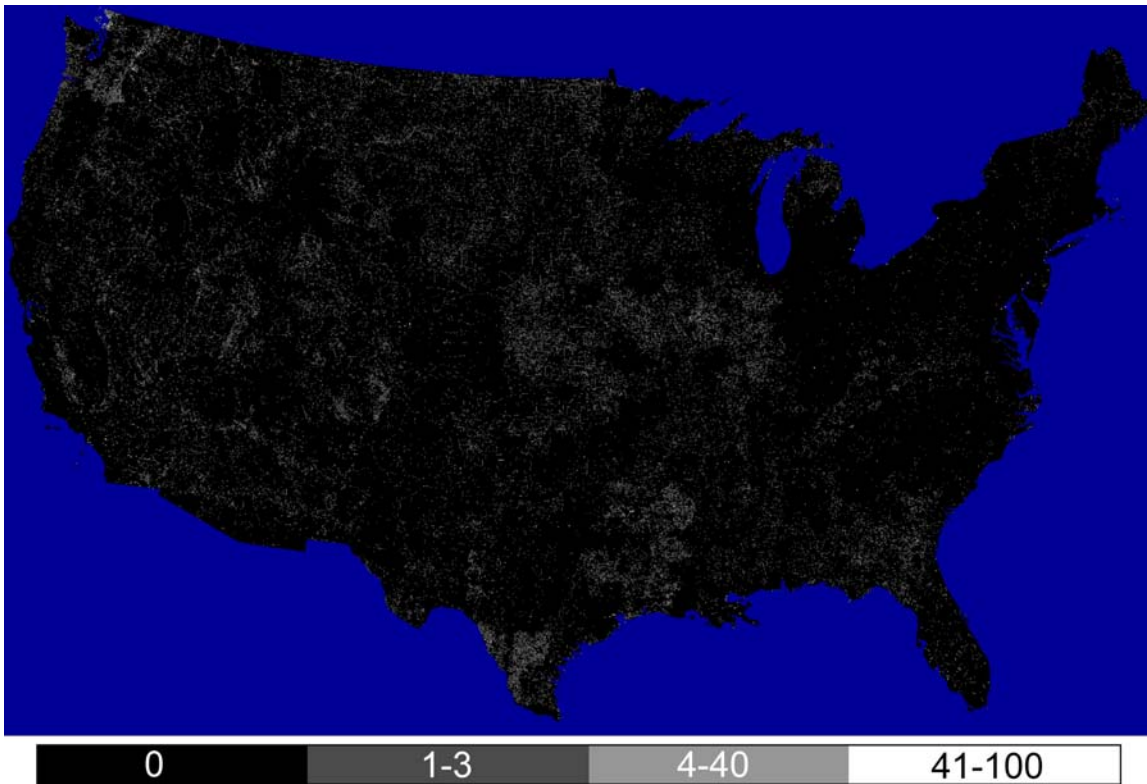


Figure 8. Distribution of grid cells where the USGS product had ISA values – but no ISA values were present in the NGDC product. The figure shows the density of the residual ISA in four gray scale ranges.

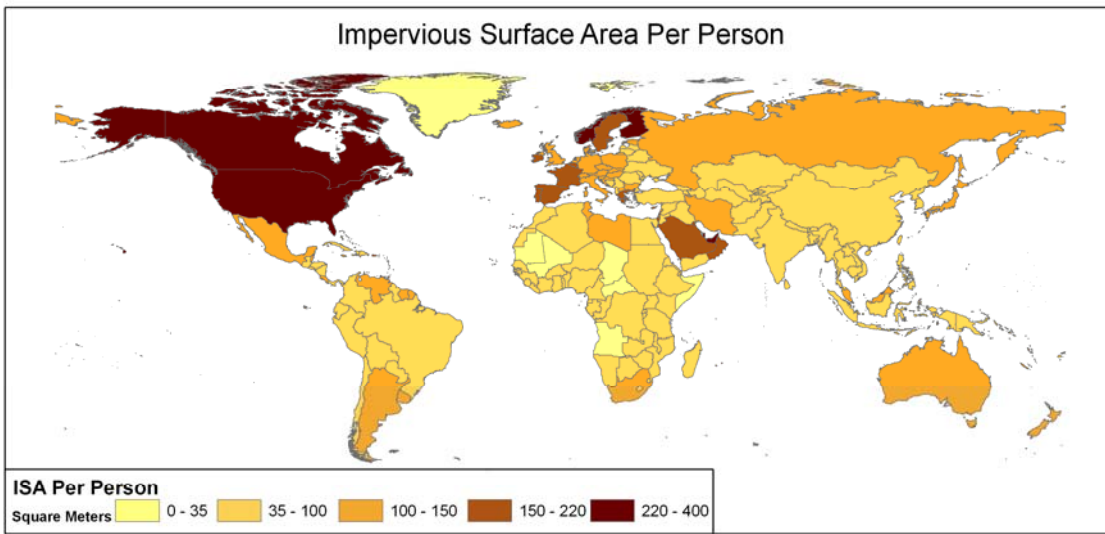


Figure 9. Square meters of constructed impervious surface per person for the countries of the world.

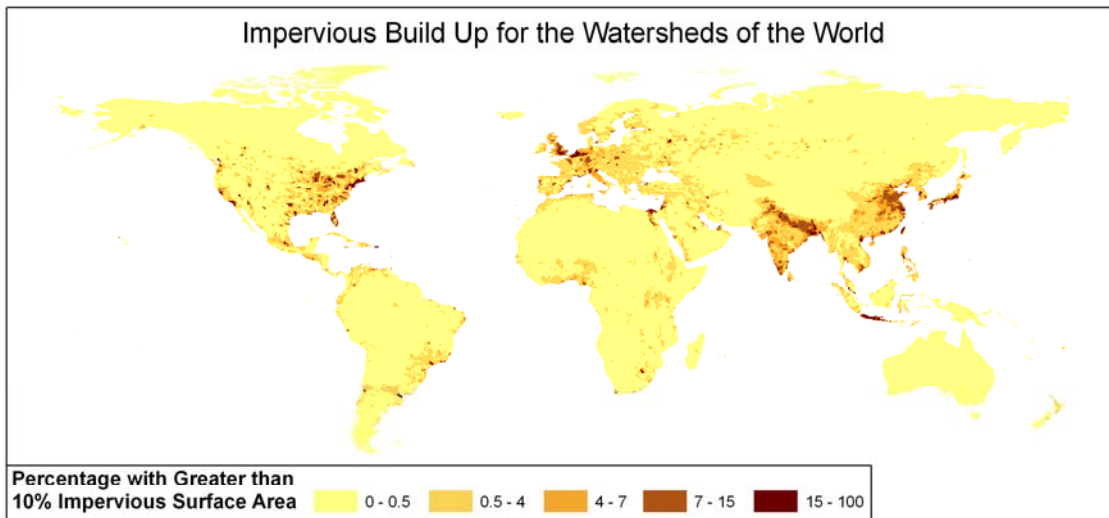


Figure 10. Percentage of watershed area with 10% or more constructed impervious surface area. Note that the Hydro 1K drainage basins used in the assessment does not include the watersheds of Australia.

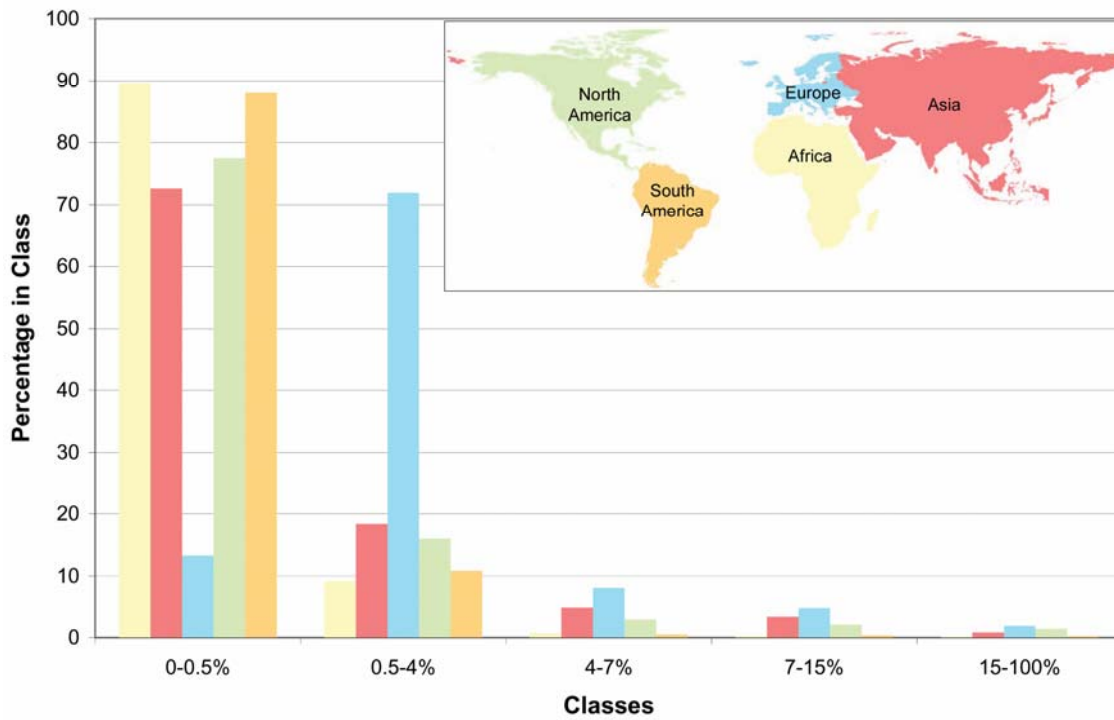


Figure 11. Percent area of watersheds having 10% or more constructed impervious surface area for continents. Note that Australia was not included due to the lack of watershed vectors.

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